

REQUEST FOR PROPOSAL

Onboard Video Surveillance System

Issued: November 13, 2026
Responses Due: December 9, 2026

CONTACT:

Jason McGarry
Procurement/Contracts Administrator
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November 13, 2026

Charleston Area Regional Transportation Authority (CARTA) is requesting proposals to furnish and install complete onboard video surveillance systems for fixed-route buses, cutaway vehicles, and paratransit vans. The systems shall include all cameras, recording equipment, software, wiring, mounting hardware, installation, programming, testing, and training necessary for operation.

CARTA anticipates an initial purchase for up to twenty (20) vehicles. The remaining fleet may be purchased through contract options during a period not to exceed five (5) years. CARTA will determine the number and type of vehicles ordered based on available funding and operational needs. Additional systems shall be purchased at the awarded unit prices.

The requirements for submitting a proposal are stated with the following Request for Proposal (RFP). This RFP has been developed is accordance with the procurement guidelines of the Federal Transit Administration (FTA), and those related to State and Local Government. Details are described herein, and should be followed accordingly.

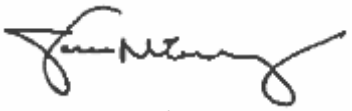
Proposals must be received by 3:00 PM EST on December 9, 2026, by email to jasonm@bcdcog.com Proposals received after the deadline will not be considered. Electronic submissions only; no printed copies or USB drives are required.

Proposers shall submit the Technical Proposal and the completed Excel Price Proposal as separate email attachments. The requirements for each are provided in Section 3. CARTA will issue written addenda for any changes to this solicitation. Proposers are responsible for reviewing addenda posted on the CARTA website before submitting their proposals.

Proposals shall remain valid for ninety (90) calendar days after the submission deadline. CARTA reserves the right to reject any or all proposals, waive minor informalities, and award a contract only when it is in CARTA's best interest. Any revisions to this RFP will be issued and distributed as an addendum. All addenda, additional communications, responses to questions, etc. pertaining to the RFP will be posted on the CARTA website. All Providers should consult this website for updates before submitting proposals.

Proposals resulting from this solicitation are subject to the South Carolina Freedom of Information Act (FOIA). All information that is to be treated as confidential and/or proprietary information, in whole or in part, must be stamped and/or denoted as CONFIDENTIAL, in bold, in a font of at least 12-point type, in the upper right-hand corner of the page.

Sincerely,



Procurement/Contracts Administrator

Contents

SECTION 1 – INTRODUCTION AND GENERAL INFORMATION 4
1.0 Introduction 4
1.1 Vehicle Configurations and Reference Information 4
SECTION 2 – SCOPE OF WORK 4
2.1 Project Overview 4
2.2 Standard Camera Layouts and Coverage 4
2.3 Camera and Recording Equipment 5
2.4 System Operation and Video Retrieval 6
2.5 Existing Equipment and Compatibility 6
2.6 Installation and Schedule 6
2.7 Testing, Documentation, and Acceptance 7
2.8 Training 7
2.9 Warranty, Maintenance, and Support 7
SECTION 3 – PROPOSAL CONTENT AND OUTLINE 8
3.1 Proposal Submission Requirements 8
3.2 Technical Proposal Content 8
3.3 Price Proposal 9
3.4 Questions and Addendum 9
SECTION 4 – PROPOSAL EVALUATION 9
4.1 Evaluation Criteria 10
4.2 Price Evaluation Method 10
4.3 Selection and Award 10
SECTION 5 – GENERAL CONDITIONS 10
Attachment A – FLEET LISTING AND STANDARD CAMERA LAYOUTS 13
Attachment B – PRICE FILE 13
APPENDIX A – REQUIRED FEDERAL CLAUSES 14
APPENDIX B – REQUIRED CERTIFICATIONS 19

SECTION 1 – INTRODUCTION AND GENERAL INFORMATION

1.0 Introduction

CARTA provides public transportation service in the Charleston, South Carolina region, including fixed-route and paratransit service. CARTA intends to replace and standardize onboard video surveillance equipment across several vehicle configurations. The selected contractor will be responsible for providing complete, installed, and operational camera systems, including software, recording equipment, installation, training, warranty, and related support.

CARTA is subject to the regulations of the US Department of Transportation (DOT), Federal Transit Authority (FTA), South Carolina Department of Transportation (SCDOT), and federal, state, and local laws. Please visit our website www.ridecarta.com for additional information.

1.1 Vehicle Configurations and Reference Information

For purposes of the solicitation and unit pricing, CARTA has established three standard configurations: fixed-route bus, cutaway vehicle, and paratransit van. The same installed unit price shall apply to fixed-route buses regardless of bus length. The standard cutaway configuration shall apply to cutaways used in either fixed-route or paratransit service.

The reference fleet listing includes 102 fixed-route vehicles (97 standard buses and five cutaways) and 24 paratransit vehicles (three cutaways and 21 vans), for 126 listed vehicles in total. Existing camera counts in the fleet listing are provided for reference only and do not determine the required replacement layouts.

SECTION 2 – SCOPE OF WORK

2.1 Project Overview

The Charleston Area Regional Transportation Authority (CARTA) is requesting proposals to furnish and install complete onboard video surveillance systems for fixed-route buses, cutaway vehicles, and paratransit vans. The systems shall include all cameras, recording equipment, software, wiring, mounting hardware, installation, programming, testing, and training necessary for operation.

CARTA anticipates an initial purchase for up to twenty (20) vehicles. The remaining fleet may be purchased through contract options during a period not to exceed five (5) years. CARTA will determine the number and type of vehicles ordered based on available funding and operational needs. Future purchases are not guaranteed. Additional systems shall be purchased at the awarded unit prices.

2.2 Standard Camera Layouts and Coverage

Proposals shall be based on CARTA's standard camera layouts for the following three vehicle configurations. Installed pricing shall be provided by configuration, not by bus length:

- Fixed-route bus: Nine numbered camera locations, including the designated 360-degree camera at position 5, as shown on the standard bus layout.

- Cutaway vehicle: Eight numbered camera locations and the separately identified 360-degree camera shown on the standard cutaway layout. The same configuration shall be priced for cutaways used in either fixed-route or paratransit service.
- Paratransit van: Seven marked camera locations shown on the paratransit layout.

The camera layouts establish the required locations and coverage for pricing purposes. Exact mounting locations may be adjusted for individual vehicle models provided the required coverage is maintained and there is no additional cost to CARTA.

Camera coverage shall include the following, as applicable to the vehicle:

- The driver and driver area.
- Entrance and exit doors.
- Passenger seating areas and aisles.
- The roadway ahead and the driver's field of vision.
- Wheelchair ramp or lift and accessible seating and securement areas.
- Exterior views along both sides of the bus and other exterior views shown on the applicable layout.

If a proposer determines that additional cameras are necessary to provide the required coverage, those cameras shall be identified and included in the installed unit price. Optional coverage beyond the required layout may be offered and priced separately. Any deviation from the standard layout shall be clearly identified in the technical proposal.

2.3 Camera and Recording Equipment

All proposed cameras, recording equipment, communications hardware, software, and related system components shall comply with Section 889 of Public Law 115-232 and 2 CFR § 200.216.

Equipment produced by Huawei Technologies, ZTE Corporation, Hytera Communications, Hangzhou Hikvision Digital Technology Company, Dahua Technology Company, or their subsidiaries or affiliates is prohibited to the extent covered by these requirements. This prohibition also applies to systems incorporating covered equipment as a substantial or essential component or as critical technology

Proposers shall identify the manufacturer and model of all proposed cameras, recording equipment, and related hardware and confirm compliance with these requirements.

At a minimum, the proposed system shall include:

- IP/HD cameras with industry-standard night vision or comparable low-light recording capability.
- Camera resolution of 12 megapixels and the ability to produce 4K HD-quality video or higher. Identify the native and recorded resolution of each proposed camera.
- No fisheye cameras except at the designated 360-degree positions shown on the reference layouts. Video from those cameras shall be viewable as corrected, non-distorted images.
- A network video recorder (NVR) capable of supporting 32 channels of IP video.
- Solid-state recording storage suitable for the vibration and temperature conditions of transit vehicles.
- Storage capacity sufficient to retain at least thirty (30) days of video from all installed cameras at the proposed recording settings.

- All cameras, recorders, drives, cabling, mounts, connectors, software, and related components necessary for a fully operational system.

Proposers shall identify the camera and recorder manufacturers and model numbers, storage capacity, recording resolution, frame rate, and how the thirty-day retention requirement will be met. The system shall automatically begin recording when the vehicle ignition is turned on and stop recording when the ignition is turned off.

2.4 System Operation and Video Retrieval

The proposed system shall provide:

- Live wireless viewing of onboard cameras by authorized CARTA personnel, with unlimited user licenses.
- Ability to request and schedule wireless uploads of recorded video and retrieve footage when wireless service is available.
- System-health information identifying camera outages, recording or storage errors, temperature issues, and other equipment failures.
- Operator-activated event tagging with date, time, vehicle identification, GPS/map location, and associated alert information.
- Video integration with available vehicle data, including GPS, braking, speed, turn signals, and other operating information. Proposers shall identify any vehicle-specific interface requirements or limitations.
- Secure video viewing, playback, retrieval, and export, with access controls to prevent unauthorized access, use, deletion, or alteration of recordings.

The system shall include the software necessary to access and review video. CARTA shall be able to retrieve, preserve, and export its recordings in a usable format. Any viewing software or required playback utility shall be provided with the system at no additional cost.

2.5 Existing Equipment and Compatibility

CARTA's fleet listing identifies existing camera quantities and general camera locations for reference. Those quantities do not replace the standard layouts required under this solicitation.

Proposers shall identify any existing cameras, wiring, recorders, servers, tablets, or communications equipment they propose to reuse. Reuse is subject to CARTA approval. Proposers shall not assume that existing equipment is compatible with or available for the new system.

The installed price shall include any additional equipment, software, licenses, servers, or communications components needed for the proposed system to operate as described.

2.6 Installation and Schedule

The selected contractor shall furnish, deliver, install, program, and test each complete system. Installations will be coordinated with CARTA and its operating contractor at the CARTA Operations and Maintenance Facility, 3664 Leeds Avenue, North Charleston, SC 29405. Vehicle access, dates, and work hours will be arranged after award to minimize disruption to transit service.

Proposals shall identify the equipment lead time, estimated installation time and vehicle out-of-service hours for each configuration, and the anticipated schedule for completing the initial purchase.

Before installation, the contractor shall submit proposed camera locations, mounting details, wiring routes, and any vehicle-specific changes for CARTA approval. Equipment shall be securely mounted and installed without interfering with driver visibility, vehicle controls, passenger movement, doors, or accessibility equipment. All wiring and equipment shall be protected against vibration, heat, moisture, and normal vehicle use.

2.7 Testing, Documentation, and Acceptance

Each system shall be tested and demonstrated to CARTA before acceptance. Testing shall confirm camera coverage, recorded image quality, playback and export, wireless viewing and scheduled uploads, event tagging, available vehicle-data integration, system-health monitoring, ignition-based recording, and the proposed thirty-day storage configuration.

The contractor shall provide a completion record for each vehicle identifying the vehicle number, equipment installed, camera locations, system settings, and test results. Any deficiencies identified during inspection or testing shall be corrected at no additional cost to CARTA. CARTA will determine when each installation has been satisfactorily completed.

2.8 Training

The contractor shall provide training for CARTA and designated operating-contractor staff on system operation, live viewing, recorded video retrieval and export, event tagging, user administration, system-health monitoring, and basic troubleshooting. Training shall include operating instructions and electronic copies of the applicable manuals and materials. All training shall be included in the installed system price.

2.9 Warranty, Maintenance, and Support

Proposals shall identify the standard manufacturer and installation warranties, including coverage periods, covered parts and labor, exclusions, limitations, and the procedure for obtaining service. Proposers shall also identify available extended warranties and their costs.

For warranty and non-warranty service, proposers should provide expected response times, hourly labor rates including travel costs, and replacement costs for cameras, recorders, and other major equipment. The proposal shall identify any recurring charges for software, licensing, cellular/data service, hosting, or support that are necessary to operate the proposed system.

All required costs shall be included in the separate Price Proposal. Proposers may separately identify and price optional cameras, replacement equipment, extended warranties, or other available services. Optional items shall not replace any required element of the base system.

SECTION 3 – PROPOSAL CONTENT AND OUTLINE

3.1 Proposal Submission Requirements

Proposers shall submit their technical proposal in PDF format and the completed Price Proposal (Attachment B) in Excel format as separate files. Both files shall be submitted electronically to jasonm@bcdcog.com by the proposal deadline.

The submission shall consist of two separate files: (1) a Technical Proposal in PDF format; and (2) the completed CARTA Price Proposal in its original Excel format. Required signed certifications and acknowledgments may be included in the Technical Proposal PDF or attached as separate PDF files. Do not include prices in the Technical Proposal.

The Technical Proposal should be concise, organized in the order below, and limited to thirty (30) pages, not counting required certifications, acknowledgments of addenda, or manufacturer technical data sheets. Use at least 11-point type for narrative text.

Proposers shall provide a proposal which includes the required elements, both in content and sequence as set forth in this section. Proposal Forms must be completed and signed. All required certifications, and/or addendums must be completed, signed, and submitted with each Proposal.

3.2 Technical Proposal Content

Proposers are required to submit the following information. Failure to respond to each item may render the Proposal non-responsive, causing it to be rejected. Contents of Proposals shall be as follows:

1. **Cover Letter.** Identify the proposing company, primary contact, telephone number, email address, and the person authorized to bind the company.

2. **Company Qualifications and References.** Describe relevant experience supplying, installing, and supporting onboard video surveillance systems in transit or comparable commercial fleets. Provide at least three (3) recent customer references and identify the personnel responsible for the work.

3. **Proposed System and Camera Layouts.** Identify the manufacturer and model of all proposed cameras, recorders, storage devices, software, and communications components. Explain how the system meets each requirement of Section 2. Provide proposed camera layouts for the fixed-route bus, cutaway, and paratransit van configurations, identifying the number and location of cameras and any proposed deviations from the CARTA reference layouts.

4. **System Functions and Compatibility.** Describe live viewing, recording, wireless retrieval, scheduled uploads, event tagging, vehicle-data integration, system diagnostics, data security, video export, and the proposed thirty-day storage configuration. Identify any needed cellular connectivity, backend equipment, or operational limitations.

5. **Installation Approach and Schedule.** Explain delivery and installation plans, coordination with CARTA operations, anticipated lead times, installation hours and vehicle downtime by configuration, system testing, documentation, and the schedule for completing Phase I.

6. **Training, Warranty, and Support.** Describe the included training, standard equipment and installation warranties, service arrangements, anticipated response times, availability of replacement parts, and any extended warranty or support offerings.

7. **Subcontractors, Exceptions, and Certifications.** Identify proposed subcontractors and their responsibilities, list any exceptions to the solicitation, and provide all required signed certifications and addenda acknowledgments. A proposed alternative shall not replace a response to the required base scope.

3.3 Price Proposal

Proposers shall complete the CARTA Onboard Camera System Price Proposal spreadsheet and return it as a separate Excel attachment. Pricing shall be provided for the three standard installed configurations: fixed-route bus, cutaway vehicle, and paratransit van.

The installed unit prices shall include all equipment, materials, cabling, mounting, delivery, installation, programming, testing, training, and standard warranty required to furnish a complete operating system. Proposers shall also identify required annual software licensing, data service, backend/server equipment, other mandatory fees, available extended warranties, non-warranty labor rates, replacement hardware prices, and the maximum annual price increase for contract years two through five.

Enter $0 for any required cost that is included elsewhere in the price. Optional items shall be clearly identified and priced separately. CARTA may request clarification of incomplete or inconsistent pricing, subject to applicable procurement requirements.

3.4 Questions and Addendum

Questions regarding this RFP shall be submitted in writing to jasonm@bcdcog.com no later than 3:00 PM EST on November 30, 2026. Responses will be issued by written addendum and posted on the CARTA website. Proposers are responsible for reviewing the published addenda before submitting a proposal.

SECTION 4 – PROPOSAL EVALUATION

CARTA will evaluate proposals based on technical merit, relevant experience, support capability, and overall cost. Evaluation will be based on the requirements of this RFP and the information submitted by each proposer. The maximum score is one hundred (100) points.

4.1 Evaluation Criteria

Proposed System and Functionality – 50 points. Evaluation of the proposed camera equipment and layouts, technical specifications, recording and storage, wireless retrieval, vehicle-data integration, system security, installation approach, and ability to satisfy the Scope of Work.

Qualifications, Warranty, and Support – 20 points. Evaluation of relevant experience and references, installation capacity, proposed schedule, staff training, warranty coverage, service response, replacement-parts availability, and ongoing support.

Price Proposal – 30 points. Evaluation of the overall cost of the required systems, installation, and associated mandatory equipment and operating costs over the contract period. Optional products and services will not replace required system pricing.

4.2 Price Evaluation Method

Price points will be calculated as: (Lowest Evaluated Price ÷ Proposer's Evaluated Price) × 30. The price evaluation will include all mandatory costs identified in the Price Proposal or required to make the proposed system operational. CARTA may seek pricing clarification and perform a price analysis to determine whether the proposed price is fair and reasonable.

4.3 Selection and Award

CARTA may request clarifications, demonstrations, interviews, or additional supporting information and may verify references. Award, if made, will be to the responsible proposer whose proposal provides the best value to CARTA based on the published criteria. CARTA may reject any or all proposals or cancel the solicitation in accordance with applicable requirements.

SECTION 5 – GENERAL CONDITIONS

Costs and Proposal Validity
All costs of preparing and submitting a proposal are the responsibility of the proposer. Submissions must remain valid for ninety (90) calendar days after the due date. This solicitation does not obligate CARTA to award a contract or pay any proposal preparation expenses.

Addenda and Changes
Only written addenda issued by CARTA may modify the RFP. CARTA may amend the solicitation or extend the submission date when it determines that doing so is appropriate. Proposers shall acknowledge all addenda in their submissions.

Confidentiality and Public Records
Proposals are subject to the South Carolina Freedom of Information Act. Proposers shall clearly identify information they consider to be protected trade secrets or otherwise exempt from disclosure and state the basis for the claim. Marking an entire proposal "confidential" does not, by itself, make it exempt from disclosure. CARTA will determine disclosure obligations in accordance with applicable law.

Exceptions and Subcontractors
Any exception to the requirements, terms, or conditions of this RFP shall be expressly identified in the Technical Proposal. Proposers shall identify all proposed subcontractors and the work to be performed by each. CARTA may require approval of subcontractor substitutions under the resulting contract.
Conflict of Interest and Non-Collusion
By submitting a proposal, each proposer represents that the proposal is independently prepared and submitted without collusion, fraud, or improper conflicts of interest. Proposers shall disclose any actual or apparent conflict relevant to the solicitation or performance of the resulting contract.

Compliance and Federal Requirements
The selected contractor shall comply with applicable federal, state, and local laws and regulations, including applicable requirements of the federal funding award. Required federal contract provisions and proposer certifications are set forth separately in Appendix A of the final RFP. In the event of a conflict, mandatory federal requirements shall control to the extent required by law.

Protest Procedures
Any solicitation or award protest shall be submitted in accordance with CARTA's adopted written protest procedures. A protest shall identify the challenged action, factual and legal basis, requested relief, and the protester's contact information. Applicable deadlines and procedures shall be governed by the adopted policy.

Preparation of Proposals: All costs associated with the preparation and delivery of a Proposal are the sole responsibility of the applicable Proposer. Proposers shall not include any such expenses as part of the price proposed in response to the RFP.

Proposal Inquiries: Communication by any Proposer with any agent or employee of CARTA or about this RFP, or the pending process may result in the Proposer being deemed ineligible with regard to this RFP. All questions and requests for clarification regarding this RFP or this process must be submitted in writing to Jason McGarry, Procurement/Contracts Administrator at jasonm@bcdcog.com

Subcontracting: If subcontractors are necessary to complete any functions of this requirement, the Proposer must list their names and business locations of any proposed subcontractors, with their submitted Proposal Form. CARTA reserves the right to review and approve any subcontractors proposed by the Respondent.

Exceptions to RFP: All exceptions taken by Proposer must be specific. Proposer must clearly indicate what alternative is being offered to allow CARTA a meaningful opportunity to evaluate the Proposal. Submitting an alternative proposal does not relieve the Proposer from submitting the Minimum Requirements as stated in the RFP. CARTA is under no obligation to accept any proposed exceptions or alternatives.

Single Proposal Response: If only one Proposal is received in response to this RFP and it is found by CARTA to be acceptable, a detailed price/cost proposal may be requested of the single Proposer. A price or cost analysis, or both, possibly including an audit, may be performed by or for CARTA of the detailed price/cost proposal in order to determine if the price is fair and reasonable.

Opening of Proposal: Proposals will not be publicly opened. All Proposals and evaluations will be kept strictly confidential throughout the evaluation, negotiation, and selection process. Only the members of

the Evaluation and Selection Committee and other CARTA officials, employees and agents having a legitimate interest will be provided access to the Proposals and evaluation.

Confidentiality: Upon receipt at CARTA, your Proposal is considered a public record except for material, which qualifies as "trade secret" information under SC FOIA. To properly designate material as Confidential/Trade Secrets.

Review of Protests by FTA: All protests involving contracts financed with federal assistance shall be disclosed to the FTA in accordance with FTA Circular 4220.1G. Protesters shall exhaust all administrative remedies with CARTA prior to pursuing protests with FTA. FTA limits its reviews of protests to: a grantee's failure to have or follow its protest procedures; a grantee's failure to review a complaint or protest when presented an opportunity to do so; or violations of Federal law or regulation.

Conflicts of Interest: No employee, officer or agent of CARTA shall participate in the selection or in the award of the Contract if a conflict of interest, real or apparent, would be involved.

Gratuities: CARTA'S officers, employees, and agents cannot solicit nor accept gratuities, favors, or anything of monetary value from Proposers or other parties with an interest in the selection of the award of the Contract.

Proposer's Obligation to Fully Inform Themselves: Proposers or their authorized representatives are expected to fully inform themselves as to all conditions, requirements, and specifications of this RFP before submitting Proposals. Failure to do so will be at the Proposers own risk.

Disclaimer: Each Proposer must perform its own evaluation and due diligence verification of all information and data provided

Attachment A – FLEET LISTING AND STANDARD CAMERA LAYOUTS

The following documents are provided for reference and shall be used in preparing proposals for the onboard video surveillance system. Proposers shall review the fleet listing and applicable camera layouts and include all equipment and installation costs necessary to meet the requirements outlined in Section 2.

Reference Documents

1. **CARTA Camera Fleet Listing 2026** – Camera CARTA Fleet Listing2026.xlsx
2. **Standard Fixed-Route Bus Camera Layout** – Bus Standard layout.jpg
3. **Standard Cutaway Camera Layout** – Cutaway Standard layout.jpg
4. **Standard Paratransit Van Camera Layout** – Paratransit.docx

The fleet listing identifies existing vehicles and camera configurations for reference. The standard camera layouts identify the intended camera coverage for the replacement systems.

Proposers shall identify any proposed deviations from the layouts and provide an explanation in their technical proposal.

Attachment B – PRICE FILE

CARTA Onboard Video Surveillance System Price Proposal – Price File_On Board Camera System.xlsx

Proposers shall complete Attachment B and submit the completed Excel file separately from their technical proposal.

APPENDIX A – REQUIRED FEDERAL CLAUSES

The following provisions apply to this federally assisted solicitation and resulting contract to the extent required by the applicable federal award, statute, or regulation. References to "CARTA" mean the Charleston Area Regional Transportation Authority; "Contractor" means the selected proposer.

1. Federal Requirements and Order of Precedence
The Contractor shall comply with applicable federal requirements, including 49 U.S.C. Chapter 53; 2 CFR Part 200, as adopted by U.S. DOT at 2 CFR Part 1201; applicable regulations; and the version of the FTA Master Agreement incorporated into the federal award that funds the work. FTA Circular 4220.1G provides third-party contracting guidance to the extent consistent with controlling law and the governing award. Mandatory federal requirements control over inconsistent provisions of the contract. The Contractor shall comply with changes in federal requirements to the extent they lawfully apply to this contract.

2. No Federal Government Obligation to Third Parties
The Federal Government is not a party to any contract resulting from this solicitation and shall not be subject to any obligation or liability to CARTA, the Contractor, or any other party arising out of the contract, absent the Federal Government's express written consent. The Contractor shall include this provision in applicable federally assisted subcontracts.

3. Program Fraud and False or Fraudulent Statements
The Contractor acknowledges the application, as appropriate, of the False Claims Act (31 U.S.C. §§ 3729–3733), 31 U.S.C. Chapter 38, 18 U.S.C. § 1001, and 49 U.S.C. § 5323(l). The Contractor shall not knowingly submit or cause the submission of false, fictitious, or fraudulent claims, statements, certifications, or records concerning the contract or the federally assisted project. The Contractor shall include applicable requirements in lower-tier subcontracts.

4. Access to Records and Retention
The Contractor and applicable subcontractors shall maintain books, documents, financial records, records of equipment and services delivered, subcontract records, and other records directly pertinent to the contract. Such records shall be retained for the period required by 2 CFR § 200.334, generally at least three years from the applicable retention starting point, and longer when required because of audits, litigation, claims, or award conditions. The Contractor shall afford CARTA, FTA, the U.S. Department of Transportation, the Comptroller General of the United States, and their authorized representatives access to relevant records and personnel for inspection, audit, examination, excerpts, and reproduction as required by 49 U.S.C. § 5325(g), 2 CFR § 200.337, and the applicable FTA Master Agreement.

5. Civil Rights, Nondiscrimination, and Accessibility
The Contractor shall comply with applicable federal civil-rights and nondiscrimination laws, including Title VI of the Civil Rights Act of 1964 (42 U.S.C. § 2000d et seq.); Section 504 of the Rehabilitation Act (29 U.S.C. § 794); the Americans with Disabilities Act (42 U.S.C. § 12101 et seq.); 49 U.S.C. § 5332; and applicable U.S. DOT implementing regulations, including 49 CFR Parts 21, 27, 37, and 38 to the extent applicable. The Contractor shall not discriminate in contract performance on a basis prohibited by law, and shall perform work without impairing required vehicle accessibility or passenger safety features.

6. Disadvantaged Business Enterprise and Prompt Payment
The following assurance applies to the Contractor and must be included in every subcontract as required by 49 CFR § 26.13(b):
The contractor, sub recipient or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the contractor to carry out these requirements is a material breach of this contract, which may result in the termination of this contract or such other remedy as the recipient deems appropriate, which may include, but is not limited to: withholding monthly progress payments; assessing sanctions; liquidated damages; and/or disqualifying the contractor from future bidding as non-responsible.
The Contractor shall pay subcontractors for satisfactory performance within 30 days after receiving CARTA payment for the applicable work and shall ensure prompt release of any permitted retainage within the periods and under the mechanism required by 49 CFR § 26.29 and CARTA's approved DBE program. These requirements apply to DBE and non-DBE subcontractors and lower tiers. No contract-specific DBE goal is established by this appendix; any such goal must be expressly announced by CARTA and permissible under the then-applicable 49 CFR Part 26 requirements, including the September 25, 2026 amendments.

7. Federal Tax Liability and Recent Felony Convictions
To the extent required by the applicable FTA Master Agreement and DOT Order 4200.6, the Contractor shall provide the certification included at the end of this appendix concerning unpaid federal tax liability and federal felony convictions during the preceding 24 months. If the Contractor cannot certify, it shall disclose the circumstances to CARTA for review before award. The Contractor shall notify CARTA if the certification becomes inaccurate.

8. Suspension and Debarment
The Contractor shall comply with 2 CFR Parts 180 and 1200 and shall not knowingly enter a covered transaction with an excluded or disqualified party without an authorized exception. For transactions covered by 2 CFR § 180.220, including those expected to equal or exceed $25,000 or otherwise covered, the Contractor shall verify eligibility through SAM.gov, an acceptable certification, or another method permitted by 2 CFR § 180.300. The Contractor shall comply with the applicable lower-tier verification and flow-down requirements and promptly notify CARTA of a change in eligibility.

9. Prohibited Telecommunications and Video Surveillance Equipment or Services
The Contractor shall comply with 2 CFR § 200.216, Section 889 of Public Law 115-232, and 2 CFR Part 200, Appendix II(K). Federal award funds may not be used to procure or obtain covered telecommunications equipment or services, or a system that uses such equipment or services as a substantial or essential component or as critical technology.

Covered equipment includes telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (including subsidiaries and affiliates); and, for the purposes identified in the federal restriction, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (including subsidiaries and affiliates). Covered services and any other equipment or services designated under 2 CFR § 200.216 are also prohibited.

The Contractor shall identify manufacturers and model numbers of cameras, video recorders, networking/communications components, and other material hardware and certify that the proposed

equipment and services do not include prohibited covered equipment or services. This requirement applies to equipment incorporated into products sold under another brand. Any prohibited equipment delivered shall be replaced with compliant equipment at no additional cost to CARTA. This clause does not establish a blanket restriction against all equipment manufactured in China.

10. FTA Buy America – Steel, Iron, and Manufactured Products
The Contractor shall comply with applicable FTA Buy America requirements under 49 U.S.C. § 5323(j) and 49 CFR Part 661. The onboard camera surveillance system is being procured as a retrofit to existing transit vehicles and will be treated as a manufactured end product for this solicitation, consistent with 49 CFR §§ 661.3 and 661.5 and FTA's published 2026 guidance on retrofit systems. Unless a statutory exception or applicable FTA waiver applies, all manufacturing processes for the covered manufactured end product must take place in the United States, and all of its components must be of U.S. origin as provided in 49 CFR § 661.5(d). This requirement applies to covered systems ordered during the initial purchase and under contract options.

Each proposer shall submit with its proposal exactly one completed and signed Buy America certificate using the applicable alternative prescribed by 49 CFR § 661.6 and reproduced below: the Certificate of Compliance or the Certificate of Non-Compliance. Under 49 CFR § 661.13(b), submission of the required certificate is a condition of responsiveness, subject only to the limited correction procedures expressly permitted by that regulation. An unsigned certificate, failure to submit a certificate, or submission of both alternatives does not satisfy the certification requirement. A Certificate of Non-Compliance does not grant a waiver or authorize an FTA-funded purchase of noncompliant equipment. Any exemption or waiver must be established before an affected award or purchase order.

The Contractor shall identify the manufacturer and model of the proposed system and furnish documentation of the place of manufacture and component origin upon CARTA's request. Buy America compliance is separate from the prohibited telecommunications and video surveillance equipment requirements in Section 9.

11. Domestic Preferences
To the greatest extent practicable and consistent with law, the Contractor shall follow domestic preference requirements applicable under 2 CFR § 200.322 and 2 CFR Part 200, Appendix II(L), and any applicable domestic preference included in CARTA's federal award. Requirements of the Build America, Buy America Act and 2 CFR Part 184 shall apply if this procurement includes a covered infrastructure project. These provisions do not replace FTA Buy America requirements in Section 10.

12. Termination for Cause and Convenience
For contracts exceeding $10,000, CARTA may terminate the contract, in whole or in part, for cause following written notice identifying the default and any applicable cure opportunity, or for convenience by written notice stating the effective date. CARTA will pay for conforming equipment and services satisfactorily delivered, performed, and accepted before termination and for other documented termination expenses only to the extent authorized by the contract and applicable law. No anticipated profit is payable on unperformed work. On termination, the Contractor shall safeguard CARTA property and data and cooperate in a reasonable transition. This provision is intended to satisfy 2 CFR Part 200, Appendix II(B).

13. Remedies for Breach
For contracts exceeding the applicable federal simplified acquisition threshold, CARTA may pursue administrative, contractual, or legal remedies for breach, including rejection of nonconforming goods, corrective action, recovery of allowable damages, and termination, consistent with the contract and applicable law. This provision is intended to satisfy 2 CFR Part 200, Appendix II(A). No remedy is waived by a failure to exercise it in a prior instance.

14. Lobbying
For covered contracts, subcontracts, or other transactions over $100,000, the Contractor shall comply with 31 U.S.C. § 1352, 49 CFR Part 20, and 2 CFR Part 200, Appendix II(I). Proposers must submit the signed Certification Regarding Lobbying set forth in 49 CFR Part 20, Appendix A, reproduced in the certification section below. If reportable non-federal funds were or will be paid for lobbying activities, the proposer must additionally complete and submit Standard Form-LLL, Disclosure of Lobbying Activities, and require appropriate lower-tier certifications and disclosures.

15. Clean Air and Clean Water
For contracts and applicable subcontracts exceeding $150,000, the Contractor shall comply with all applicable standards, orders, and regulations issued under the Clean Air Act (42 U.S.C. § 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. § 1251 et seq.). The Contractor shall report violations to CARTA for any federally required reporting to FTA and the appropriate federal agency. This clause shall be included in applicable subcontracts exceeding $150,000, consistent with 2 CFR Part 200, Appendix II(G).

16. Recovered Materials
When the contract includes an item designated under 40 CFR Part 247 and the applicable purchase exceeds $10,000 (or the applicable quantity purchased in the preceding fiscal year exceeded $10,000), the Contractor shall comply with Section 6002 of the Solid Waste Disposal Act, 42 U.S.C. § 6962, and 2 CFR § 200.323. Recovered-material requirements apply only to covered items; they do not create recycled-content obligations for every electronic component.

17. Notification of Fraud, Waste, Abuse, or Misconduct
Where required by the applicable FTA Master Agreement, the Contractor shall promptly report credible evidence of fraud, waste, abuse, or misconduct connected with the federally assisted contract to CARTA and the U.S. DOT Office of Inspector General as required. The Contractor shall cooperate with lawful investigations and flow down applicable requirements.

18. Seat Belt Use and Distracted Driving
The Contractor shall adopt and promote seat-belt use and distracted-driving prevention policies for employees and subcontractor personnel operating or riding in vehicles during contract performance, including prohibiting text messaging while driving, consistent with applicable federal requirements and the FTA Master Agreement.

19. Energy Conservation
The Contractor shall comply with mandatory standards and policies relating to energy efficiency contained in the applicable state energy conservation plan issued under the Energy Policy and Conservation Act, to the extent applicable.

20. Cargo Preference – If Ocean Transportation Is Used
If equipment, materials, or commodities purchased with federal assistance are transported by ocean vessel and 46 U.S.C. § 55305 and 46 CFR Part 381 apply, the Contractor shall use privately owned United States-flag commercial vessels for at least 50 percent of the gross tonnage involved (computed separately for dry bulk carriers, dry cargo liners, and tankers), to the extent available at fair and reasonable rates. The Contractor shall provide required shipping documentation and include the substance of this provision in applicable subcontracts, consistent with 46 CFR § 381.7.

21. Fly America – If Federally Funded International Air Travel Occurs
If international air transportation is financed under the contract, the Contractor shall comply with the Fly America Act, 49 U.S.C. § 40118, and applicable implementing rules, including requirements concerning use of U.S.-flag air carriers and documentation of any permitted exception.

22. Intelligent Transportation Systems – If Applicable
If the project includes an Intelligent Transportation Systems element subject to applicable federal ITS architecture or standards requirements, the Contractor shall comply with those requirements, including 23 CFR Part 940 or the FTA National ITS Architecture Policy to the extent governing the project, and provide necessary system and interface documentation.

23. Flow-Down and Continued Compliance
The Contractor shall include the specific mandatory clauses and assurances in subcontracts and purchase orders at each tier when required by statute, regulation, award, or an individual clause in this appendix. The Contractor is responsible for lower-tier compliance. General incorporation of federal requirements does not replace the prescribed text or certification where specifically required. The Contractor shall maintain and furnish evidence of compliance upon CARTA's request.

APPENDIX B – REQUIRED CERTIFICATIONS

Complete, sign, and submit the applicable certifications below with the technical proposal. Certifications shall be signed by an authorized representative. For Buy America, submit only ONE of the two alternative certificates (A-1 or A-2). The lobbying certificate is required for covered transactions over $100,000. The other solicitation representations are requested by CARTA to document federally required responsibility and equipment compliance checks.

A. BUY AMERICA – 49 CFR § 661.6 (CHOOSE ONE ALTERNATIVE)

The following are the prescribed certificate alternatives for procurement of steel or manufactured products. Complete and submit ONE, not both. Do not sign the alternative that does not apply.

A-1. Certificate of Compliance with Buy America Requirements

The bidder or offeror hereby certifies that it will comply with the requirements of 49 U.S.C. 5323(j)(1), and the applicable regulations in 49 CFR part 661.

Company: _____ Date: _____

Name: _____ Title: _____

Signature: _____

A-2. Certificate of Non-Compliance with Buy America Requirements

The bidder or offeror hereby certifies that it cannot comply with the requirements of 49 U.S.C. 5323(j), but it may qualify for an exception to the requirement pursuant to 49 U.S.C. 5323(j)(2), as amended, and the applicable regulations in 49 CFR 661.7.

Company: _____ Date: _____

Name: _____ Title: _____

Signature: _____

IMPORTANT: A non-compliance certificate is not an FTA waiver or a determination of eligibility for award. CARTA cannot award an FTA-funded purchase of noncompliant manufactured products absent a valid exception or waiver.

B. CERTIFICATION REGARDING LOBBYING – 49 CFR PART 20, APPENDIX A

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this

Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than $10,000 and not more than $100,000 for each such failure.

Company: _____ Date: _____

Name: _____ Title: _____

Signature: _____

C. SUSPENSION AND DEBARMENT REPRESENTATION

The undersigned certifies that neither the proposer nor its principals are presently debarred, suspended, declared ineligible, voluntarily excluded, or otherwise disqualified from participation in covered federal transactions under 2 CFR Parts 180 and 1200. The proposer agrees to notify CARTA immediately if this representation becomes inaccurate, and to comply with applicable covered lower-tier transaction verification requirements. If the proposer cannot certify, it shall attach an explanation.

Company: _____ Date: _____

Name: _____ Title: _____

Signature: _____

D. FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTIONS

The undersigned certifies, after reasonable inquiry, that the proposer: (1) does not have an unpaid federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and (2) has not been convicted of a felony criminal violation under federal law within the preceding 24 months. If the proposer cannot certify to either statement, it shall attach an explanation for CARTA's review.

Company: _____ Date: _____

Name: _____ Title: _____

Signature: _____

E. COVERED TELECOMMUNICATIONS AND VIDEO SURVEILLANCE EQUIPMENT

The undersigned certifies that, to the best of the proposer's knowledge after reasonable inquiry, the camera systems and related equipment/services proposed for this solicitation do not include covered telecommunications or video surveillance equipment or services prohibited by 2 CFR § 200.216 or Section 889 of Public Law 115-232, including systems using prohibited equipment as a substantial or essential component or as critical technology.

The proposer agrees to identify the manufacturers and models of the equipment proposed, promptly report any change affecting compliance, and furnish compliant substitutes at no additional cost to CARTA if prohibited equipment is discovered.

Company: _____

Date: _____

Name: _____

Title: _____

Signature: _____